

## Chapter 2

# Finite Element Approximation

### 2.1 Piecewise linear approximation

**One-dimensional piecewise linear approximation** Let us approximate one-dimensional function  $f(x)$  over region  $P_iP_j$  in one-dimensional space. The function takes values  $f_i, f_j$  at points  $P_i, P_j$ . Let  $x_i, x_j$  be coordinates of points  $P_i, P_j$ . Let  $P$  be any point of which coordinate is given by  $x$ . Let us introduce the following two functions

$$N_{i,j}(x) = \frac{PP_j}{P_iP_j} = \frac{x_j - x}{x_j - x_i} \quad (2.1.1a)$$

$$N_{j,i}(x) = \frac{P_iP}{P_iP_j} = \frac{x - x_i}{x_j - x_i} \quad (2.1.1b)$$

Noting that

$$N_{i,j}(x) = \begin{cases} 1 & x = x_i \\ 0 & x = x_j \end{cases}, \quad N_{j,i}(x) = \begin{cases} 0 & x = x_i \\ 1 & x = x_j \end{cases}$$

linear approximation of function  $f(x)$  over region  $P_iP_j$  is described as follows:

$$L_{i,j}(x) = f_i N_{i,j}(x) + f_j N_{j,i}(x). \quad (2.1.2)$$

This function is linear since both  $N_{i,j}(x)$  and  $N_{j,i}(x)$  are linear. Also, this function satisfies

$$\begin{aligned} L_{i,j}(x_i) &= f_i N_{i,j}(x_i) + f_j N_{j,i}(x_i) = f_i \\ L_{i,j}(x_j) &= f_i N_{i,j}(x_j) + f_j N_{j,i}(x_j) = f_j \end{aligned}$$

concluding that function  $L_{i,j}(x)$  provides one-dimensional approximation over  $P_iP_j$ .

**Two-dimensional piecewise linear approximation** Let us approximate two-dimensional function  $f(x, y)$  over triangle region  $\triangle P_iP_jP_k$  in two-dimensional space. The function takes values  $f_i, f_j, f_k$  at points  $P_i, P_j, P_k$ . Let  $(x_i, y_i)$  be coordinates of point  $P_i$ ,  $(x_j, y_j)$  be coordinates of point  $P_j$ , and  $(x_k, y_k)$  be coordinates of point  $P_k$ . Let  $P(x, y)$  be any point of

which coordinate is given by  $(x, y)$ . Let us introduce the following three functions

$$N_{i,j,k}(x, y) = \frac{\Delta P P_j P_k}{\Delta P_i P_j P_k} = \frac{(y_j - y_k)x - (x_j - x_k)y + (x_j y_k - x_k y_j)}{2\Delta P_i P_j P_k} \quad (2.1.3a)$$

$$N_{j,k,i}(x, y) = \frac{\Delta P_i P P_k}{\Delta P_i P_j P_k} = \frac{(y_k - y_i)x - (x_k - x_i)y + (x_k y_i - x_i y_k)}{2\Delta P_i P_j P_k} \quad (2.1.3b)$$

$$N_{k,i,j}(x, y) = \frac{\Delta P_i P_j P}{\Delta P_i P_j P_k} = \frac{(y_i - y_j)x - (x_i - x_j)y + (x_i y_j - x_j y_i)}{2\Delta P_i P_j P_k} \quad (2.1.3c)$$

where

$$2\Delta P_i P_j P_k = (x_i y_j - x_j y_i) + (x_j y_k - x_k y_j) + (x_k y_i - x_i y_k).$$

Noting that

$$N_{i,j,k}(x, y) = \begin{cases} 1 & \text{at } P_i \\ 0 & \text{at } P_j, P_k \end{cases}$$

$$N_{j,k,i}(x, y) = \begin{cases} 1 & \text{at } P_j \\ 0 & \text{at } P_k, P_i \end{cases}$$

$$N_{k,i,j}(x, y) = \begin{cases} 1 & \text{at } P_k \\ 0 & \text{at } P_i, P_j \end{cases}$$

linear approximation of  $f(x, y)$  over region  $\Delta P_i P_j P_k$  is described as follows:

$$L_{i,j,k}(x, y) = f_i N_{i,j,k}(x, y) + f_j N_{j,k,i}(x, y) + f_k N_{k,i,j}(x, y) \quad (2.1.4)$$

This function is linear since  $N_{i,j,k}(x, y)$ ,  $N_{j,k,i}(x, y)$ , and  $N_{k,i,j}(x, y)$  are linear. Also, this function satisfies

$$L_{i,j,k}(x_i, y_i) = f_i, \quad L_{i,j,k}(x_j, y_j) = f_j, \quad L_{i,j,k}(x_k, y_k) = f_k$$

concluding that function  $L_{i,j,k}(x, y)$  provides two-dimensional approximation over triangle region  $\Delta P_i P_j P_k$ .

**Three-dimensional piecewise linear approximation** Let us approximate three-dimensional function  $f(x, y, z)$  over tetrahedron region  $\diamond P_i P_j P_k P_l$  in three-dimensional space. The function takes values  $f_i, f_j, f_k, f_l$  at points  $P_i, P_j, P_k, P_l$ . Let  $(x_i, y_i, z_i)$  be coordinates of point  $P_i$ ,  $(x_j, y_j, z_j)$  be coordinates of point  $P_j$ ,  $(x_k, y_k, z_k)$  be coordinates of point  $P_k$ , and  $(x_l, y_l, z_l)$  be coordinates of point  $P_l$ . Let  $P(x, y, z)$  be any point of which coordinate is given by  $(x, y, z)$ . Let us introduce the following four functions

$$N_{i,j,k,l}(x, y, z) = \frac{\diamond P P_j P_k P_l}{\diamond P_i P_j P_k P_l} \quad (2.1.5a)$$

$$N_{j,k,l,i}(x, y, z) = \frac{\diamond P_i P P_k P_l}{\diamond P_i P_j P_k P_l} \quad (2.1.5b)$$

$$N_{k,l,i,j}(x, y, z) = \frac{\diamond P_i P_i P P_l}{\diamond P_i P_j P_k P_l} \quad (2.1.5c)$$

$$N_{l,i,j,k}(x, y, z) = \frac{\diamond P_i P_i P_k P}{\diamond P_i P_j P_k P_l} \quad (2.1.5d)$$

Then, linear approximation of  $f(x, y, z)$  over region  $\diamond P_i P_j P_k P_l$  is described as follows:

$$L_{i,j,k,l}(x, y, z) = f_i N_{i,j,k,l}(x, y, z) + f_j N_{j,k,l,i}(x, y, z) + f_k N_{k,l,i,j}(x, y, z) + f_l N_{l,i,j,k}(x, y, z)$$

(2.1.6)

This function  $L_{i,j,k,l}(x, y, z)$  provides three-dimensional approximation over tetrahedron region  $\diamond P_i P_j P_k P_l$ .

## 2.2 One-dimensional finite element approximation

Strain potential and kinetic energies are formulated as integral forms over one-, two-, or three-dimensional regions. It is difficult or impossible to analytically calculate such integrals. *Finite element approximation* provides methods to calculate the integrals numerically. Finite element approximation employs divide-and-conquer approach, which is outlined as follows:

- Step 1** Obtain integral form with respect to unknown functions.
- Step 2** Divide the integral into a finite number of integrals over small regions.
- Step 3** Approximate unknown functions to calculate integrals over small regions.
- Step 4** Sum up the calculated integrals over small regions.

Recall that strain potential energy of a one-dimensional soft robot is given by eq. (1.5.3), that is:

$$U = \int_0^L \frac{1}{2} E \varepsilon^2 A \, dx = \int_0^L \frac{1}{2} EA \left( \frac{\partial u}{\partial x} \right)^2 \, dx \quad (2.2.1)$$

This integral  $U$  includes one unknown function  $u(x)$ , which should be obtained. The above integral over region  $[0, L]$  can be divided into, for example, integrals over four small regions:

$$\int_0^L = \int_{x_1}^{x_2} + \int_{x_2}^{x_3} + \int_{x_3}^{x_4} + \int_{x_4}^{x_5}$$

Applying piecewise linear approximation, we analytically or numerically calculate individual integrals over small regions, resulting that we can obtain integral  $U$ .

**Finite element approximation of strain potential energy** Let us detail the above procedure. Divide region  $[0, L]$  into a finite number of small regions. Here we divide the region into four equal regions. Width of the small regions is  $h = L/4$ . End points of small regions are referred to as *nodal points*. Here we have five nodal points. Let us describe the nodal points as  $x_1 = 0, x_2 = h, x_3 = 2h, \dots, x_5 = L$ . Dividing integral interval  $[0, L]$  into small regions, we have

$$U = \int_{x_1}^{x_2} \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 \, dx + \int_{x_2}^{x_3} \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 \, dx + \dots + \int_{x_4}^{x_5} \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 \, dx. \quad (2.2.2)$$

We apply piecewise linear approximation (eq. (2.1.2)) to function  $u(x)$  over small region  $[x_i, x_j]$ . Piecewise linear approximation of the function is described as follows:

$$u(x) = u_i N_{i,j}(x) + u_j N_{j,i}(x), \quad x \in [x_i, x_j] \quad (2.2.3)$$

where  $u_i, u_j$  represent displacements at nodal points  $P(x_i), P(x_j)$ . Through this approximation, function  $u(x)$  can be described by five parameters  $u_1, u_2, \dots, u_5$ .

Let us substitute the above piecewise linear approximation into individual integrals over small regions. For sake of simplicity, assume that Young's modulus  $E$  and cross-sectional

area  $A$  are constants. Substituting piecewise linear approximation given in eq. (2.2.3) into integral over small region  $[x_i, x_j]$ , we have

$$\int_{x_i}^{x_j} \frac{1}{2} EA \left( \frac{du}{dx} \right)^2 dx = \frac{1}{2} \begin{bmatrix} u_i & u_j \end{bmatrix} \frac{EA}{h} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} u_i \\ u_j \end{bmatrix}$$

(see Problem 5 in Chapter 2). Consequently, we have

$$\begin{aligned} U &= \frac{1}{2} \begin{bmatrix} u_1 & u_2 \end{bmatrix} \frac{EA}{h} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \\ &+ \frac{1}{2} \begin{bmatrix} u_2 & u_3 \end{bmatrix} \frac{EA}{h} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} u_2 \\ u_3 \end{bmatrix} + \dots \\ &+ \frac{1}{2} \begin{bmatrix} u_4 & u_5 \end{bmatrix} \frac{EA}{h} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} u_4 \\ u_5 \end{bmatrix} \end{aligned}$$

which directly yields

$$U = \frac{1}{2} \begin{bmatrix} u_1 & u_2 & u_3 & u_4 & u_5 \end{bmatrix} \frac{EA}{h} \begin{bmatrix} 1 & -1 & & & \\ -1 & 2 & -1 & & \\ & -1 & 2 & -1 & \\ & & -1 & 2 & -1 \\ & & & -1 & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \end{bmatrix}.$$

Introducing *nodal displacement vector*

$$\mathbf{u}_N = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \end{bmatrix} \quad (2.2.4)$$

and *stiffness matrix*

$$K = \frac{EA}{h} \begin{bmatrix} 1 & -1 & & & \\ -1 & 2 & -1 & & \\ & -1 & 2 & -1 & \\ & & -1 & 2 & -1 \\ & & & -1 & 1 \end{bmatrix}, \quad (2.2.5)$$

strain potential energy is described by the following quadratic form:

$$U = \frac{1}{2} \mathbf{u}_N^\top K \mathbf{u}_N. \quad (2.2.6)$$

Note that  $K$  is a band matrix.

Let us calculate strain potential energy of a one-dimensional soft robot with non-uniform cross-sectional area. Let function  $A(x)$  denote the cross-sectional area at  $P(x)$ . Assume that Young's modulus  $E$  is constant. Recalling that  $du/dx$  takes a constant value  $(-u_i + u_j)/h$  in small region  $[x_i, x_j]$ , strain potential energy over the region is given as

$$\begin{aligned} &\int_{x_i}^{x_j} \frac{1}{2} EA(x) \left( \frac{du}{dx} \right)^2 dx = \frac{1}{2} E \left( \frac{-u_i + u_j}{h} \right)^2 \int_{x_i}^{x_j} A(x) dx \\ &= \frac{1}{2} \begin{bmatrix} u_i & u_j \end{bmatrix} \frac{E}{h^2} \begin{bmatrix} V_{i,j} & -V_{i,j} \\ -V_{i,j} & V_{i,j} \end{bmatrix} \begin{bmatrix} u_i \\ u_j \end{bmatrix} \end{aligned}$$



Piecewise linear approximation of function  $u(x, t)$  over small region  $[x_i, x_j]$  is described as follows:

$$u(x, t) = u_i(t) N_{i,j}(x) + u_j(t) N_{j,i}(x), \quad x \in [x_i, x_j] \quad (2.2.14)$$

Note that  $u_i, u_j$  depend on time  $t$  whereas functions  $N_{i,j}(x), N_{j,i}(x)$  are not. Differentiating the above equation with respect time  $t$  yields

$$\dot{u}(x, t) = \dot{u}_i(t) N_{i,j}(x) + \dot{u}_j(t) N_{j,i}(x), \quad x \in [x_i, x_j] \quad (2.2.15)$$

Applying the above equation into integral over small region  $[x_i, x_j]$ , we have

$$\int_{x_i}^{x_j} \frac{1}{2} \rho A \dot{u}^2 dx = \frac{1}{2} \begin{bmatrix} \dot{u}_i & \dot{u}_j \end{bmatrix} \rho A h \begin{bmatrix} 1/3 & 1/6 \\ 1/6 & 1/3 \end{bmatrix} \begin{bmatrix} \dot{u}_i \\ \dot{u}_j \end{bmatrix}$$

(see Problem 4 in Chapter 2). Consequently,

$$\begin{aligned} T &= \frac{1}{2} \begin{bmatrix} \dot{u}_1 & \dot{u}_2 \end{bmatrix} \rho A h \begin{bmatrix} 1/3 & 1/6 \\ 1/6 & 1/3 \end{bmatrix} \begin{bmatrix} \dot{u}_1 \\ \dot{u}_2 \end{bmatrix} \\ &+ \frac{1}{2} \begin{bmatrix} \dot{u}_2 & \dot{u}_3 \end{bmatrix} \rho A h \begin{bmatrix} 1/3 & 1/6 \\ 1/6 & 1/3 \end{bmatrix} \begin{bmatrix} \dot{u}_2 \\ \dot{u}_3 \end{bmatrix} + \dots \\ &+ \frac{1}{2} \begin{bmatrix} \dot{u}_4 & \dot{u}_5 \end{bmatrix} \rho A h \begin{bmatrix} 1/3 & 1/6 \\ 1/6 & 1/3 \end{bmatrix} \begin{bmatrix} \dot{u}_4 \\ \dot{u}_5 \end{bmatrix} \end{aligned}$$

which directly yields

$$T = \frac{1}{2} \dot{\mathbf{u}}_N^\top M \dot{\mathbf{u}}_N \quad (2.2.16)$$

where  $\dot{\mathbf{u}}_N = [\dot{u}_1, \dot{u}_2, \dots, \dot{u}_5]^\top$  and

$$M = \rho A h \cdot \frac{1}{6} \begin{bmatrix} 2 & 1 & & & \\ 1 & 4 & 1 & & \\ & 1 & 4 & 1 & \\ & & 1 & 4 & 1 \\ & & & 1 & 2 \end{bmatrix} \quad (2.2.17)$$

Matrix  $M$  is referred to as a *inertia matrix*. Note that  $M$  is a band matrix. Sum of all elements of  $M$  coincides with the total mass, implying that the inertia matrix defines its distribution. The above calculation is simply described as

$$M = M_{1,2} \oplus M_{2,3} \oplus M_{3,4} \oplus M_{4,5} \quad (2.2.18)$$

where

$$M_{i,j} = \frac{\rho A h}{6} \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \quad (2.2.19)$$

denotes a partial inertia matrix corresponding to region  $[x_i, x_j]$ .

Let us calculate kinetic energy of a one-dimensional soft robot with non-uniform cross-sectional area. Let function  $A(x)$  denote the cross-sectional area at  $P(x)$ . Assume that density  $\rho$  is constant. Kinetic energy over small region  $[x_i, x_j]$  is then given by

$$\int_{x_i}^{x_j} \frac{1}{2} \rho A \dot{u}^2 dx = \frac{1}{2} \begin{bmatrix} \dot{u}_i & \dot{u}_j \end{bmatrix} \rho \begin{bmatrix} \bar{V}_{i,j}^{i,i} & \bar{V}_{i,j}^{i,j} \\ \bar{V}_{i,j}^{j,i} & \bar{V}_{i,j}^{j,j} \end{bmatrix} \begin{bmatrix} \dot{u}_i \\ \dot{u}_j \end{bmatrix}$$



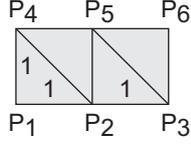


Figure 2.2: Example of rectangle region

First, we calculate integral over triangle region  $\Delta P_i P_j P_k$ :

$$T_{i,j,k} = \int_{\Delta P_i P_j P_k} \frac{1}{2} \rho \dot{\mathbf{u}}^\top \dot{\mathbf{u}} h \, dS \quad (2.3.2)$$

Piecewise linear approximation of function  $\mathbf{u}$  over triangle region  $\Delta P_i P_j P_k$  is described as follows:

$$\mathbf{u} = \mathbf{u}_i N_{i,j,k} + \mathbf{u}_j N_{j,k,i} + \mathbf{u}_k N_{k,i,j}. \quad (2.3.3)$$

Noting that  $\mathbf{u}_i, \mathbf{u}_j, \mathbf{u}_k$  depend on time while  $N_{i,j,k}, N_{j,k,i}, N_{k,i,j}$  do not, we have

$$\dot{\mathbf{u}} = \dot{\mathbf{u}}_i N_{i,j,k} + \dot{\mathbf{u}}_j N_{j,k,i} + \dot{\mathbf{u}}_k N_{k,i,j} \quad (2.3.4)$$

which directly yields

$$\dot{\mathbf{u}}^\top \dot{\mathbf{u}} = \begin{bmatrix} \dot{\mathbf{u}}_i^\top & \dot{\mathbf{u}}_j^\top & \dot{\mathbf{u}}_k^\top \end{bmatrix} \begin{bmatrix} \{N_{i,j,k}\}^2 I_{2 \times 2} & N_{i,j,k} N_{j,k,i} I_{2 \times 2} & N_{i,j,k} N_{k,i,j} I_{2 \times 2} \\ N_{i,j,k} N_{j,k,i} I_{2 \times 2} & \{N_{j,k,i}\}^2 I_{2 \times 2} & N_{j,k,i} N_{k,i,j} I_{2 \times 2} \\ N_{i,j,k} N_{k,i,j} I_{2 \times 2} & N_{j,k,i} N_{k,i,j} I_{2 \times 2} & \{N_{k,i,j}\}^2 I_{2 \times 2} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{u}}_i \\ \dot{\mathbf{u}}_j \\ \dot{\mathbf{u}}_k \end{bmatrix}$$

where  $I_{2 \times 2}$  represents  $2 \times 2$  identical matrix. For sake of simplicity, assume that density  $\rho$  and thickness  $h$  are constants. Then,

$$\begin{aligned} T_{i,j,k} &= \frac{1}{2} \begin{bmatrix} \dot{\mathbf{u}}_i^\top & \dot{\mathbf{u}}_j^\top & \dot{\mathbf{u}}_k^\top \end{bmatrix} \rho h \begin{bmatrix} (\Delta/6) I_{2 \times 2} & (\Delta/12) I_{2 \times 2} & (\Delta/12) I_{2 \times 2} \\ (\Delta/12) I_{2 \times 2} & (\Delta/6) I_{2 \times 2} & (\Delta/12) I_{2 \times 2} \\ (\Delta/12) I_{2 \times 2} & (\Delta/12) I_{2 \times 2} & (\Delta/6) I_{2 \times 2} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{u}}_i \\ \dot{\mathbf{u}}_j \\ \dot{\mathbf{u}}_k \end{bmatrix} \\ &= \frac{1}{2} \begin{bmatrix} \dot{\mathbf{u}}_i^\top & \dot{\mathbf{u}}_j^\top & \dot{\mathbf{u}}_k^\top \end{bmatrix} \frac{\rho h \Delta}{12} \begin{bmatrix} 2I_{2 \times 2} & I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & 2I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & I_{2 \times 2} & 2I_{2 \times 2} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{u}}_i \\ \dot{\mathbf{u}}_j \\ \dot{\mathbf{u}}_k \end{bmatrix} \end{aligned} \quad (2.3.5)$$

where  $\Delta = \Delta P_i P_j P_k$  (see Problem 6). Matrix

$$M_{i,j,k} = \frac{\rho h \Delta}{12} \begin{bmatrix} 2I_{2 \times 2} & I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & 2I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & I_{2 \times 2} & 2I_{2 \times 2} \end{bmatrix} \quad (2.3.6)$$

is referred to as *partial inertia matrix*. Note that the sum of all blocks of matrix  $M_{i,j,k}$  is equal to  $\rho h \Delta I_{2 \times 2}$ , which denotes the mass of this triangular element.

Let us calculate the total kinetic energy over rectangle region  $\square P_1 P_3 P_6 P_4$  shown in Fig. 2.2. This region consists of four triangle regions:  $\Delta P_1 P_2 P_4$ ,  $\Delta P_2 P_3 P_5$ ,  $\Delta P_5 P_4 P_2$ , and  $\Delta P_6 P_5 P_3$ . For sake of simplicity, assume that  $\rho h \Delta / 12$  is constantly equal to 1. Then, partial inertia matrices are given as

$$M_{1,2,4} = M_{2,3,5} = M_{5,4,2} = M_{6,5,3} = \begin{bmatrix} 2I_{2 \times 2} & I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & 2I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & I_{2 \times 2} & 2I_{2 \times 2} \end{bmatrix}.$$

Let  $\mathbf{u}_N$  be a collective vector consisting of all displacement vectors at nodal points:

$$\mathbf{u}_N = \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \\ \vdots \\ \mathbf{u}_6 \end{bmatrix} \quad (2.3.7)$$

which is referred to as *nodal displacement vector*. The total kinetic energy is then described by a quadratic form with respect to  $\dot{\mathbf{u}}_N$ :

$$T = \frac{1}{2} \dot{\mathbf{u}}_N^\top M \dot{\mathbf{u}}_N,$$

where  $M$  is referred to as *inertia matrix*. Noting that

$(1, 2, 3) \times (1, 2, 3)$  blocks of  $M_{1,2,4}$  contribute to  $(1, 2, 4) \times (1, 2, 4)$  blocks of  $M$ ,

namely,

$(1, 1), (1, 2), (1, 3)$  blocks of  $M_{1,2,4}$  contribute to  $(1, 1), (1, 2), (1, 4)$  blocks of  $M$ ,  
 $(2, 1), (2, 2), (2, 3)$  blocks of  $M_{1,2,4}$  contribute to  $(2, 1), (2, 2), (2, 4)$  blocks of  $M$ ,  
 $(3, 1), (3, 2), (3, 3)$  blocks of  $M_{1,2,4}$  contribute to  $(4, 1), (4, 2), (4, 4)$  blocks of  $M$ ,

we find contribution of  $M_{1,2,4}$  to  $M$  as follows:

$$\begin{bmatrix} 2I_{2 \times 2} & I_{2 \times 2} & & I_{2 \times 2} & & \\ I_{2 \times 2} & 2I_{2 \times 2} & & I_{2 \times 2} & & \\ & & & & & \\ I_{2 \times 2} & I_{2 \times 2} & & 2I_{2 \times 2} & & \\ & & & & & \\ & & & & & \end{bmatrix}.$$

Similarly,

$(1, 2, 3) \times (1, 2, 3)$  blocks of  $M_{5,4,2}$  contribute to  $(5, 4, 2) \times (5, 4, 2)$  blocks of  $M$ ,

namely,

$(1, 1), (1, 2), (1, 3)$  blocks of  $M_{5,4,2}$  contribute to  $(5, 5), (5, 4), (5, 2)$  blocks of  $M$ ,  
 $(2, 1), (2, 2), (2, 3)$  blocks of  $M_{5,4,2}$  contribute to  $(4, 5), (4, 4), (4, 2)$  blocks of  $M$ ,  
 $(3, 1), (3, 2), (3, 3)$  blocks of  $M_{5,4,2}$  contribute to  $(2, 5), (2, 4), (2, 2)$  blocks of  $M$ ,

we find contribution of  $M_{5,4,2}$  to  $M$  as follows:

$$\begin{bmatrix} & & & & & \\ & 2I_{2 \times 2} & & I_{2 \times 2} & I_{2 \times 2} & \\ & & & & & \\ & I_{2 \times 2} & & 2I_{2 \times 2} & I_{2 \times 2} & \\ & I_{2 \times 2} & & I_{2 \times 2} & 2I_{2 \times 2} & \\ & & & & & \end{bmatrix}.$$

Summing up all contributions, we finally have

$$M = \begin{bmatrix} 2I_{2 \times 2} & I_{2 \times 2} & & I_{2 \times 2} & & \\ I_{2 \times 2} & 6I_{2 \times 2} & I_{2 \times 2} & 2I_{2 \times 2} & 2I_{2 \times 2} & \\ & I_{2 \times 2} & 4I_{2 \times 2} & & 2I_{2 \times 2} & I_{2 \times 2} \\ I_{2 \times 2} & 2I_{2 \times 2} & & 4I_{2 \times 2} & I_{2 \times 2} & \\ & 2I_{2 \times 2} & 2I_{2 \times 2} & I_{2 \times 2} & 6I_{2 \times 2} & I_{2 \times 2} \\ & & I_{2 \times 2} & & I_{2 \times 2} & 2I_{2 \times 2} \end{bmatrix}.$$

This inertia matrix  $M$  consists of  $6^2$   $2 \times 2$  blocks and is a *sparse matrix*. We simply describe the above calculation as

$$M = M_{1,2,4} \oplus M_{2,3,5} \oplus M_{5,4,2} \oplus M_{6,5,3}. \quad (2.3.8)$$

Operator  $\oplus$  works block-wise. In general, inertia matrix is described as

$$M = \bigoplus_{i,j,k} M_{i,j,k} \quad (2.3.9)$$

where  $i, j, k$  represent nodal point numbers of each triangle.

**Finite element approximation of strain potential energy** We apply the above calculation to strain potential energy. First, let us calculate strain potential energy stored in small triangle region  $\Delta P_i P_j P_k$ :

$$U_{i,j,k} = \int_{\Delta P_i P_j P_k} \frac{1}{2} \boldsymbol{\varepsilon}^\top (\lambda I_\lambda + \mu I_\mu) \boldsymbol{\varepsilon} h \, dS. \quad (2.3.10)$$

Piecewise linear approximation of function  $\mathbf{u}$  over triangle region  $\Delta P_i P_j P_k$  is described as  $\mathbf{u} = \mathbf{u}_i N_{i,j,k} + \mathbf{u}_j N_{j,k,i} + \mathbf{u}_k N_{k,i,j}$ . Introducing collective vectors  $\boldsymbol{\gamma}_u = [u_i, u_j, u_k]^\top$  and  $\boldsymbol{\gamma}_v = [v_i, v_j, v_k]^\top$ , we find

$$\frac{\partial u}{\partial x} = \mathbf{a}^\top \boldsymbol{\gamma}_u, \quad \frac{\partial u}{\partial y} = \mathbf{b}^\top \boldsymbol{\gamma}_u, \quad \frac{\partial v}{\partial x} = \mathbf{a}^\top \boldsymbol{\gamma}_v, \quad \frac{\partial v}{\partial y} = \mathbf{b}^\top \boldsymbol{\gamma}_v$$

where

$$\mathbf{a} = \frac{1}{2\Delta} \begin{bmatrix} y_j - y_k \\ y_k - y_i \\ y_i - y_j \end{bmatrix}, \quad \mathbf{b} = \frac{-1}{2\Delta} \begin{bmatrix} x_j - x_k \\ x_k - x_i \\ x_i - x_j \end{bmatrix} \quad (2.3.11)$$

(see Problem 2). Then, strain vector is given as

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \mathbf{a}^\top \boldsymbol{\gamma}_u \\ \mathbf{b}^\top \boldsymbol{\gamma}_v \\ \mathbf{b}^\top \boldsymbol{\gamma}_u + \mathbf{a}^\top \boldsymbol{\gamma}_v \end{bmatrix} \quad (2.3.12)$$

Substituting the above equation into eq. (2.3.10), we have

$$U_{i,j,k} = \frac{1}{2} \begin{bmatrix} \boldsymbol{\gamma}_u^\top & \boldsymbol{\gamma}_v^\top \end{bmatrix} \lambda \begin{bmatrix} \mathbf{a}\mathbf{a}^\top & \mathbf{a}\mathbf{b}^\top \\ \mathbf{b}\mathbf{a}^\top & \mathbf{b}\mathbf{b}^\top \end{bmatrix} h\Delta \begin{bmatrix} \boldsymbol{\gamma}_u \\ \boldsymbol{\gamma}_v \end{bmatrix} + \frac{1}{2} \begin{bmatrix} \boldsymbol{\gamma}_u^\top & \boldsymbol{\gamma}_v^\top \end{bmatrix} \mu \begin{bmatrix} 2\mathbf{a}\mathbf{a}^\top + \mathbf{b}\mathbf{b}^\top & \mathbf{b}\mathbf{a}^\top \\ \mathbf{a}\mathbf{b}^\top & 2\mathbf{b}\mathbf{b}^\top + \mathbf{a}\mathbf{a}^\top \end{bmatrix} h\Delta \begin{bmatrix} \boldsymbol{\gamma}_u \\ \boldsymbol{\gamma}_v \end{bmatrix} \quad (2.3.13)$$

(see Problem 7). Then, we have

$$U_{i,j,k} = \frac{1}{2} \boldsymbol{\gamma}^\top (\lambda H_\lambda + \mu H_\mu) \boldsymbol{\gamma} \quad (2.3.14)$$

where

$$\boldsymbol{\gamma} = \begin{bmatrix} \gamma_u \\ \gamma_v \end{bmatrix}, \quad H_\lambda = \begin{bmatrix} \mathbf{aa}^\top & \mathbf{ab}^\top \\ \mathbf{ba}^\top & \mathbf{bb}^\top \end{bmatrix} h\Delta, \\ H_\mu = \begin{bmatrix} 2\mathbf{aa}^\top + \mathbf{bb}^\top & \mathbf{ba}^\top \\ \mathbf{ab}^\top & 2\mathbf{bb}^\top + \mathbf{aa}^\top \end{bmatrix} h\Delta.$$

The above equation is a quadratic form with respect to  $\boldsymbol{\gamma} = [u_i, u_j, u_k, v_i, v_j, v_k]^\top$ . Let us permute rows and columns of  $H_\lambda$  and  $H_\mu$  so that  $U_{i,j,k}$  is described by a quadratic form with respect to  $\mathbf{u}_{i,j,k} = [u_i, v_i, u_j, v_j, u_k, v_k]^\top$ . Namely, let 1, 4, 2, 5, 3, 6 rows and columns of  $H_\lambda$  be 1, 2, 3, 4, 5, 6 rows and columns of  $J_\lambda^{i,j,k}$ . Similarly, let 1, 4, 2, 5, 3, 6 rows and columns of  $H_\mu$  be 1, 2, 3, 4, 5, 6 rows and columns of  $J_\mu^{i,j,k}$ . Then, we have

$$\boldsymbol{\gamma}^\top H_\lambda \boldsymbol{\gamma} = \mathbf{u}_{i,j,k}^\top J_\lambda^{i,j,k} \mathbf{u}_{i,j,k}, \quad \boldsymbol{\gamma}^\top H_\mu \boldsymbol{\gamma} = \mathbf{u}_{i,j,k}^\top J_\mu^{i,j,k} \mathbf{u}_{i,j,k}$$

Matrices  $J_\lambda^{i,j,k}$  and  $J_\mu^{i,j,k}$  are referred to as *partial connection matrices*. Once coordinates of  $P_i, P_j, P_k$  are given, we can calculate partial connection matrices  $J_\lambda^{i,j,k}$  and  $J_\mu^{i,j,k}$ .

Finally, we find strain potential energy stored in  $\Delta P_i P_j P_k$ :

$$U_{i,j,k} = \frac{1}{2} \mathbf{u}_{i,j,k}^\top K_{i,j,k} \mathbf{u}_{i,j,k} \quad (2.3.15)$$

where

$$K_{i,j,k} = \lambda J_\lambda^{i,j,k} + \mu J_\mu^{i,j,k} \quad (2.3.16)$$

is referred to as *partial stiffness matrix*.

Summing up all strain potential energies over small triangle regions, we obtain the total strain potential energy described as

$$U = \frac{1}{2} \mathbf{u}_N^\top K \mathbf{u}_N \quad (2.3.17)$$

where

$$K = \bigoplus_{i,j,k} K_{i,j,k} \quad (2.3.18)$$

is referred to as *stiffness matrix*. Assuming that Lamé's constants  $\lambda$  and  $\mu$  are uniform over the region, stiffness matrix is described as

$$K = \bigoplus_{i,j,k} (\lambda J_\lambda^{i,j,k} + \mu J_\mu^{i,j,k}) = \lambda \bigoplus_{i,j,k} J_\lambda^{i,j,k} + \mu \bigoplus_{i,j,k} J_\mu^{i,j,k}$$

which directly yields

$$K = \lambda J_\lambda + \mu J_\mu \quad (2.3.19)$$

where

$$J_\lambda = \bigoplus_{i,j,k} J_\lambda^{i,j,k}, \quad J_\mu = \bigoplus_{i,j,k} J_\mu^{i,j,k}$$

are referred to as *connection matrices*.

**Example** Let us calculate partial connection matrices of triangle  $P_1P_2P_4$  shown in Fig. 2.2. Vectors  $\mathbf{a}$ ,  $\mathbf{b}$  are given by  $\mathbf{a} = [-1, 1, 0]^\top$  and  $\mathbf{b} = [-1, 0, 1]^\top$ . Assuming  $h = 2$ , we have

$$H_\lambda = \left[ \begin{array}{ccc|ccc} 1 & -1 & 0 & 1 & 0 & -1 \\ -1 & 1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 1 & -1 & 0 & 1 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 1 & 0 & -1 & 0 & 1 \end{array} \right], \quad H_\mu = \left[ \begin{array}{ccc|ccc} 3 & -2 & -1 & 1 & -1 & 0 \\ -2 & 2 & 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & -1 & 1 & 0 \\ \hline 1 & 0 & -1 & 3 & -1 & -2 \\ -1 & 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 0 & -2 & 0 & 2 \end{array} \right]$$

Permuting rows and columns of the above matrices, we find

$$J_\lambda^{1,2,4} = \left[ \begin{array}{cc|cc|cc} 1 & 1 & -1 & 0 & 0 & -1 \\ 1 & 1 & -1 & 0 & 0 & -1 \\ \hline -1 & -1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & -1 & 1 & 0 & 0 & 1 \end{array} \right]$$

$$J_\mu^{1,2,4} = \left[ \begin{array}{cc|cc|cc} 3 & 1 & -2 & -1 & -1 & 0 \\ 1 & 3 & 0 & -1 & -1 & -2 \\ \hline -2 & 0 & 2 & 0 & 0 & 0 \\ -1 & -1 & 0 & 1 & 1 & 0 \\ \hline -1 & -1 & 0 & 1 & 1 & 0 \\ 0 & -2 & 0 & 0 & 0 & 2 \end{array} \right]$$

Let us calculate partial connection matrices of triangle  $P_5P_4P_2$  shown in Fig. 2.2. Vectors  $\mathbf{a}$ ,  $\mathbf{b}$  are given by  $\mathbf{a} = [-1, 1, 0]^\top$  and  $\mathbf{b} = [-1, 0, 1]^\top$ . Thus, assuming  $h = 2$ , we find  $J_\lambda^{5,4,2} = J_\lambda^{1,2,4}$  and  $J_\mu^{5,4,2} = J_\mu^{1,2,4}$ . Partial connection matrices are invariant with respect to translation displacement. As a result, under the same assumption, we have

$$J_\lambda^{1,2,4} = J_\lambda^{2,3,5} = J_\lambda^{5,4,2} = J_\lambda^{6,5,3}, \quad J_\mu^{1,2,4} = J_\mu^{2,3,5} = J_\mu^{5,4,2} = J_\mu^{6,5,3}$$

Let us calculate connection matrices  $J_\lambda$  and  $J_\mu$  of rectangle region  $\square P_1P_3P_6P_4$  shown in Fig. 2.2. Noting that

$$(1, 2, 3) \times (1, 2, 3) \text{ blocks of } J_\lambda^{1,2,4} \text{ contribute to } (1, 2, 4) \times (1, 2, 4) \text{ blocks of } J_\lambda,$$

namely,

$$\begin{aligned} (1, 1), (1, 2), (1, 3) \text{ blocks of } J_\lambda^{1,2,4} &\text{ contribute to } (1, 1), (1, 2), (1, 4) \text{ blocks of } J_\lambda, \\ (2, 1), (2, 2), (2, 3) \text{ blocks of } J_\lambda^{1,2,4} &\text{ contribute to } (2, 1), (2, 2), (2, 4) \text{ blocks of } J_\lambda, \\ (3, 1), (3, 2), (3, 3) \text{ blocks of } J_\lambda^{1,2,4} &\text{ contribute to } (4, 1), (4, 2), (4, 4) \text{ blocks of } J_\lambda, \end{aligned}$$

we obtain contribution of  $J_\lambda^{1,2,4}$  to  $J_\lambda$ . Noting that

$$(1, 2, 3) \times (1, 2, 3) \text{ blocks of } J_\lambda^{5,4,2} \text{ contribute to } (5, 4, 2) \times (5, 4, 2) \text{ blocks of } J_\lambda,$$

namely,

$$\begin{aligned} (1, 1), (1, 2), (1, 3) \text{ blocks of } J_\lambda^{5,4,2} &\text{ contribute to } (5, 5), (5, 4), (5, 2) \text{ blocks of } J_\lambda, \\ (2, 1), (2, 2), (2, 3) \text{ blocks of } J_\lambda^{5,4,2} &\text{ contribute to } (4, 5), (4, 4), (4, 2) \text{ blocks of } J_\lambda, \\ (3, 1), (3, 2), (3, 3) \text{ blocks of } J_\lambda^{5,4,2} &\text{ contribute to } (2, 5), (2, 4), (2, 2) \text{ blocks of } J_\lambda, \end{aligned}$$



**Finite element approximation of kinetic energy** Let us calculate kinetic energy over tetrahedron region  $\diamond P_i P_j P_k P_l$ :

$$T_{i,j,k,l} = \int_{\diamond P_i P_j P_k P_l} \frac{1}{2} \rho \dot{\mathbf{u}}^\top \dot{\mathbf{u}} dV \quad (2.4.1)$$

Piecewise linear approximation of function  $\mathbf{u}$  over tetrahedron region  $\diamond P_i P_j P_k P_l$  is described as follows:

$$\mathbf{u} = \mathbf{u}_i N_{i,j,k,l} + \mathbf{u}_j N_{j,k,l,i} + \mathbf{u}_k N_{k,l,i,j} + \mathbf{u}_l N_{l,k,i,j}. \quad (2.4.2)$$

Differentiating the above equation with respect to time  $t$ , we have

$$\dot{\mathbf{u}} = \dot{\mathbf{u}}_i N_{i,j,k,l} + \dot{\mathbf{u}}_j N_{j,k,l,i} + \dot{\mathbf{u}}_k N_{k,l,i,j} + \dot{\mathbf{u}}_l N_{l,k,i,j}. \quad (2.4.3)$$

For sake of simplicity, assume that density  $\rho$  is constant. Letting  $I_{3 \times 3}$  represent  $3 \times 3$  identical matrix, we have

$$T_{i,j,k,l} = \frac{1}{2} \begin{bmatrix} \dot{\mathbf{u}}_i^\top & \dot{\mathbf{u}}_j^\top & \dot{\mathbf{u}}_k^\top & \dot{\mathbf{u}}_l^\top \end{bmatrix} \frac{\rho \diamond}{20} \begin{bmatrix} 2I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & 2I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & I_{3 \times 3} & 2I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} & 2I_{3 \times 3} \end{bmatrix} \begin{bmatrix} \dot{\mathbf{u}}_i \\ \dot{\mathbf{u}}_j \\ \dot{\mathbf{u}}_k \\ \dot{\mathbf{u}}_l \end{bmatrix} \quad (2.4.4)$$

where  $\diamond = \diamond P_i P_j P_k P_l$  (see Problem 8). Matrix

$$M_{i,j,k,l} = \frac{\rho \diamond}{20} \begin{bmatrix} 2I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & 2I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & I_{3 \times 3} & 2I_{3 \times 3} & I_{3 \times 3} \\ I_{3 \times 3} & I_{3 \times 3} & I_{3 \times 3} & 2I_{3 \times 3} \end{bmatrix} \quad (2.4.5)$$

is referred to as *partial inertia matrix*. Note that the sum of all blocks of matrix  $M_{i,j,k,l}$  is equal to  $\rho \diamond I_{3 \times 3}$ , which denotes the mass of this tetrahedron element.

Summing up all kinetic energies over small tetrahedron regions, we obtain the total kinetic energy described as

$$T = \frac{1}{2} \dot{\mathbf{u}}_N^\top M \dot{\mathbf{u}}_N,$$

where  $M$  is referred to as *inertia matrix*.

**Finite element approximation of strain potential energy** We calculate strain potential energy stored in small tetrahedron region  $\diamond P_i P_j P_k P_l$ . Introducing collective vectors  $\boldsymbol{\gamma}_u = [u_i, u_j, u_k, u_l]^\top$ ,  $\boldsymbol{\gamma}_v = [v_i, v_j, v_k, v_l]^\top$ , and  $\boldsymbol{\gamma}_w = [w_i, w_j, w_k, w_l]^\top$ , we find

$$\begin{aligned} \frac{\partial u}{\partial x} &= \mathbf{a}^\top \boldsymbol{\gamma}_u, & \frac{\partial u}{\partial y} &= \mathbf{b}^\top \boldsymbol{\gamma}_u, & \frac{\partial u}{\partial z} &= \mathbf{c}^\top \boldsymbol{\gamma}_u, \\ \frac{\partial v}{\partial x} &= \mathbf{a}^\top \boldsymbol{\gamma}_v, & \frac{\partial v}{\partial y} &= \mathbf{b}^\top \boldsymbol{\gamma}_v, & \frac{\partial v}{\partial z} &= \mathbf{c}^\top \boldsymbol{\gamma}_v, \\ \frac{\partial w}{\partial x} &= \mathbf{a}^\top \boldsymbol{\gamma}_w, & \frac{\partial w}{\partial y} &= \mathbf{b}^\top \boldsymbol{\gamma}_w, & \frac{\partial w}{\partial z} &= \mathbf{c}^\top \boldsymbol{\gamma}_w \end{aligned}$$

where

$$\mathbf{a} = \frac{1}{6 \diamond} \begin{bmatrix} -a_{j,k,l} \\ a_{k,l,i} \\ -a_{l,i,j} \\ a_{i,j,k} \end{bmatrix}, \quad \mathbf{b} = \frac{1}{6 \diamond} \begin{bmatrix} -b_{j,k,l} \\ b_{k,l,i} \\ -b_{l,i,j} \\ b_{i,j,k} \end{bmatrix}, \quad \mathbf{c} = \frac{1}{6 \diamond} \begin{bmatrix} -c_{j,k,l} \\ c_{k,l,i} \\ -c_{l,i,j} \\ c_{i,j,k} \end{bmatrix}$$

with

$$\begin{aligned} a_{j,k,l} &= (y_j z_k - y_k z_j) + (y_k z_l - y_l z_k) + (y_l z_j - y_j z_l) \\ b_{j,k,l} &= (z_j x_k - z_k x_j) + (z_k x_l - z_l x_k) + (z_l x_j - z_j x_l) \\ c_{j,k,l} &= (x_j y_k - x_k y_j) + (x_k y_l - x_l y_k) + (x_l y_j - x_j y_l) \end{aligned}$$

(see Problem 3). Strain vector is given as

$$\boldsymbol{\varepsilon} = \begin{bmatrix} \mathbf{a}^\top \boldsymbol{\gamma}_u \\ \mathbf{b}^\top \boldsymbol{\gamma}_v \\ \mathbf{c}^\top \boldsymbol{\gamma}_w \\ \mathbf{c}^\top \boldsymbol{\gamma}_v + \mathbf{b}^\top \boldsymbol{\gamma}_w \\ \mathbf{a}^\top \boldsymbol{\gamma}_w + \mathbf{c}^\top \boldsymbol{\gamma}_u \\ \mathbf{b}^\top \boldsymbol{\gamma}_u + \mathbf{a}^\top \boldsymbol{\gamma}_v \end{bmatrix}.$$

Then, strain potential energy stored in  $\diamond P_i P_j P_k P_l$  is given by

$$U_{i,j,k,l} = \frac{1}{2} \boldsymbol{\gamma}^\top (\lambda H_\lambda + \mu H_\mu) \boldsymbol{\gamma} \quad (2.4.6)$$

where

$$\begin{aligned} \boldsymbol{\gamma} &= \begin{bmatrix} \gamma_u \\ \gamma_v \\ \gamma_w \end{bmatrix}, \quad H_\lambda = \begin{bmatrix} \mathbf{a}\mathbf{a}^\top & \mathbf{a}\mathbf{b}^\top & \mathbf{a}\mathbf{c}^\top \\ \mathbf{b}\mathbf{a}^\top & \mathbf{b}\mathbf{b}^\top & \mathbf{b}\mathbf{c}^\top \\ \mathbf{c}\mathbf{a}^\top & \mathbf{c}\mathbf{b}^\top & \mathbf{c}\mathbf{c}^\top \end{bmatrix} \diamond, \\ H_\mu &= \begin{bmatrix} 2\mathbf{a}\mathbf{a}^\top + \mathbf{b}\mathbf{b}^\top + \mathbf{c}\mathbf{c}^\top & & & \\ & \mathbf{a}\mathbf{b}^\top & & \\ & \mathbf{a}\mathbf{c}^\top & & \\ & & 2\mathbf{b}\mathbf{b}^\top + \mathbf{c}\mathbf{c}^\top + \mathbf{a}\mathbf{a}^\top & \\ & & & \mathbf{b}\mathbf{c}^\top \\ & & & & 2\mathbf{c}\mathbf{c}^\top + \mathbf{a}\mathbf{a}^\top + \mathbf{b}\mathbf{b}^\top \end{bmatrix} \diamond. \end{aligned} \quad (2.4.7)$$

Let us permute rows and columns of  $H_\lambda$  and  $H_\mu$  so that  $U_{i,j,k,l}$  is described by a quadratic form of  $\mathbf{u}_{i,j,k,l} = [\mathbf{u}_i^\top, \mathbf{u}_j^\top, \mathbf{u}_k^\top, \mathbf{u}_l^\top]^\top$ . Namely, let 1, 5, 9, 2, 6, 10, 3, 7, 11, 4, 8, 12 rows and columns of  $H_\lambda$  be 1 through 12 rows and columns of  $J_\lambda^{i,j,k,l}$ . Similarly, let 1, 5, 9, 2, 6, 10, 3, 7, 11, 4, 8, 12 rows and columns of  $H_\mu$  be 1 through 12 rows and columns of  $J_\mu^{i,j,k,l}$ . Then, we have

$$\boldsymbol{\gamma}^\top H_\lambda \boldsymbol{\gamma} = \mathbf{u}_{i,j,k,l}^\top J_\lambda^{i,j,k,l} \mathbf{u}_{i,j,k,l}, \quad \boldsymbol{\gamma}^\top H_\mu \boldsymbol{\gamma} = \mathbf{u}_{i,j,k,l}^\top J_\mu^{i,j,k,l} \mathbf{u}_{i,j,k,l}.$$

Matrices  $J_\lambda^{i,j,k,l}$  and  $J_\mu^{i,j,k,l}$  are referred to as *partial connection matrices*. Once coordinates of  $P_i, P_j, P_k, P_l$  are given, we can calculate partial connection matrices  $J_\lambda^{i,j,k,l}$  and  $J_\mu^{i,j,k,l}$ .

Finally, we find strain potential energy stored in  $\diamond P_i P_j P_k P_l$ :

$$U_{i,j,k,l} = \frac{1}{2} \mathbf{u}_{i,j,k,l}^\top K_{i,j,k,l} \mathbf{u}_{i,j,k,l} \quad (2.4.8)$$

where

$$K_{i,j,k,l} = \lambda J_\lambda^{i,j,k,l} + \mu J_\mu^{i,j,k,l} \quad (2.4.9)$$

which is referred to as *partial stiffness matrix*.

Summing up all strain potential energies over small tetrahedron regions, we obtain the total strain potential energy described as

$$U = \frac{1}{2} \mathbf{u}_N^\top K \mathbf{u}_N \quad (2.4.10)$$

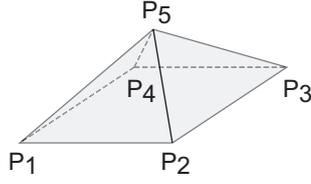


Figure 2.3: Example of regular quadrangular pyramid

where

$$K = \bigoplus_{i,j,k,l} K_{i,j,k,l} \quad (2.4.11)$$

is referred to as *stiffness matrix*. Assuming that Lamé's constants  $\lambda$  and  $\mu$  are uniform over the region, stiffness matrix is described as

$$K = \lambda J_\lambda + \mu J_\mu \quad (2.4.12)$$

where matrices  $J_\lambda$  and  $J_\mu$  are referred to as *connection matrices*.

Let us calculate connection matrices of a regular quadrangular pyramid (Fig.2.3). The base of the pyramid is square  $\square P_1 P_2 P_3 P_4$  and the apex of the pyramid is  $P_5$ . Coordinates of vertices are given by  $\mathbf{x}_1 = [0, 0, 0]^\top$ ,  $\mathbf{x}_2 = [2, 0, 0]^\top$ ,  $\mathbf{x}_3 = [2, 2, 0]^\top$ ,  $\mathbf{x}_4 = [0, 2, 0]^\top$ , and  $\mathbf{x}_5 = [1, 1, 1]^\top$ . The pyramid consists of two tetraheda:  $\diamond P_1 P_2 P_3 P_5$  and  $\diamond P_3 P_4 P_1 P_5$ .

Partial connection matrices of  $\diamond P_1 P_2 P_3 P_5$  are as follows:

$$J_\lambda^{1,2,3,5} = \frac{1}{4} \begin{bmatrix} 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & -2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & -2 \\ \hline -1 & 0 & -1 & 1 & -1 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 2 \\ 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & -2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & -1 & 1 & -1 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 2 \\ 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & -2 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -2 & 0 & -2 & 2 & -2 & 0 & 0 & 2 & -2 & 0 & 0 & 0 & 4 \end{bmatrix}$$

$$J_\mu^{1,2,3,5} = \frac{1}{4} \begin{bmatrix} 3 & 0 & 1 & -2 & 0 & -1 & 1 & 0 & 0 & -2 & 0 & 0 \\ 0 & 2 & 0 & 1 & -1 & 1 & -1 & 1 & -1 & 0 & -2 & 0 \\ 1 & 0 & 3 & 0 & 0 & -1 & 1 & 0 & 2 & -2 & 0 & -4 \\ \hline -2 & 1 & 0 & 3 & -1 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & -1 & 3 & 0 & 1 & -2 & 0 & 0 & 0 & 0 \\ -1 & 1 & -1 & 0 & 0 & 2 & -1 & 1 & -1 & 2 & -2 & 0 \\ \hline 1 & -1 & 1 & -1 & 1 & -1 & 2 & 0 & 0 & -2 & 0 & 0 \\ 0 & 1 & 0 & 0 & -2 & 1 & 0 & 3 & -1 & 0 & -2 & 0 \\ 0 & -1 & 2 & 0 & 0 & -1 & 0 & -1 & 3 & 0 & 2 & -4 \\ \hline -2 & 0 & -2 & 0 & 0 & 2 & -2 & 0 & 0 & 4 & 0 & 0 \\ 0 & -2 & 0 & 0 & 0 & -2 & 0 & -2 & 2 & 0 & 4 & 0 \\ 0 & 0 & -4 & 0 & 0 & 0 & 0 & 0 & -4 & 0 & 0 & 8 \end{bmatrix}$$

Note that  $(1, 2, 3, 4) \times (1, 2, 3, 4)$  blocks of  $J_\lambda^{1,2,3,5}$  and  $J_\mu^{1,2,3,5}$  contribute to  $(1, 2, 3, 5) \times (1, 2, 3, 5)$  blocks of  $J_\lambda$  and  $J_\mu$

Partial connection matrices of  $\diamond P_3 P_4 P_1 P_5$  are as follows:

$$J_\lambda^{3,4,1,5} = \frac{1}{4} \left[ \begin{array}{ccc|ccc|ccc|ccc} 1 & 0 & -1 & -1 & 1 & 0 & 0 & -1 & -1 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 1 & -1 & 0 & 0 & 1 & 1 & 0 & 0 & -2 \\ \hline -1 & 0 & 1 & 1 & -1 & 0 & 0 & 1 & 1 & 0 & 0 & -2 \\ 1 & 0 & -1 & -1 & 1 & 0 & 0 & -1 & -1 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 1 & -1 & 0 & 0 & 1 & 1 & 0 & 0 & -2 \\ -1 & 0 & 1 & 1 & -1 & 0 & 0 & 1 & 1 & 0 & 0 & -2 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & -2 & -2 & 2 & 0 & 0 & -2 & -2 & 0 & 0 & 4 \end{array} \right]$$

$$J_\mu^{3,4,1,5} = \frac{1}{4} \left[ \begin{array}{ccc|ccc|ccc|ccc} 3 & 0 & -1 & -2 & 0 & 1 & 1 & 0 & 0 & -2 & 0 & 0 \\ 0 & 2 & 0 & 1 & -1 & -1 & -1 & 1 & 1 & 0 & -2 & 0 \\ -1 & 0 & 3 & 0 & 0 & -1 & -1 & 0 & 2 & 2 & 0 & -4 \\ \hline -2 & 1 & 0 & 3 & -1 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & -1 & 3 & 0 & 1 & -2 & 0 & 0 & 0 & 0 \\ 1 & -1 & -1 & 0 & 0 & 2 & 1 & -1 & -1 & -2 & 2 & 0 \\ \hline 1 & -1 & -1 & -1 & 1 & 1 & 2 & 0 & 0 & -2 & 0 & 0 \\ 0 & 1 & 0 & 0 & -2 & -1 & 0 & 3 & 1 & 0 & -2 & 0 \\ 0 & 1 & 2 & 0 & 0 & -1 & 0 & 1 & 3 & 0 & -2 & -4 \\ \hline -2 & 0 & 2 & 0 & 0 & -2 & -2 & 0 & 0 & 4 & 0 & 0 \\ 0 & -2 & 0 & 0 & 0 & 2 & 0 & -2 & -2 & 0 & 4 & 0 \\ 0 & 0 & -4 & 0 & 0 & 0 & 0 & 0 & -4 & 0 & 0 & 8 \end{array} \right]$$

Note that  $(1, 2, 3, 4) \times (1, 2, 3, 4)$  blocks of  $J_\lambda^{3,4,1,5}$  and  $J_\mu^{3,4,1,5}$  contribute to  $(3, 4, 1, 5) \times (3, 4, 1, 5)$  blocks of  $J_\lambda$  and  $J_\mu$

Synthesizing the above partial connection matrices yields the following connection matrices:

$$J_\lambda = \frac{1}{4} \left[ \begin{array}{ccc|ccc|ccc|ccc} 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & 0 & 0 & 0 & -2 \\ 0 & 1 & 1 & 0 & 0 & 0 & -1 & 0 & 1 & 1 & -1 & 0 & -2 \\ 1 & 1 & 2 & -1 & 1 & 0 & -1 & -1 & 2 & 1 & -1 & 0 & -4 \\ \hline -1 & 0 & -1 & 1 & -1 & 0 & 0 & 1 & -1 & & & 0 & 2 \\ 1 & 0 & 1 & -1 & 1 & 0 & 0 & -1 & 1 & & & 0 & -2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & & & 0 & 0 \\ \hline 0 & -1 & -1 & 0 & 0 & 0 & 1 & 0 & -1 & -1 & 1 & 0 & 2 \\ -1 & 0 & -1 & 1 & -1 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 2 \\ 1 & 1 & 2 & -1 & 1 & 0 & -1 & -1 & 2 & 1 & -1 & 0 & -4 \\ \hline 0 & 1 & 1 & & & & -1 & 0 & 1 & 1 & -1 & 0 & -2 \\ 0 & -1 & -1 & & & & 1 & 0 & -1 & -1 & 1 & 0 & 2 \\ 0 & 0 & 0 & & & & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -2 & -2 & -4 & 2 & -2 & 0 & 2 & 2 & -4 & -2 & 2 & 0 & 8 \end{array} \right]$$

$$J_\mu = \frac{1}{4} \begin{bmatrix} 5 & 0 & 1 & -2 & 0 & -1 & 2 & -1 & -1 & -1 & 1 & 1 & -4 & 0 & 0 \\ 0 & 5 & 1 & 1 & -1 & 1 & -1 & 2 & -1 & 0 & -2 & -1 & 0 & -4 & 0 \\ 1 & 1 & 6 & 0 & 0 & -1 & 1 & 1 & 4 & 0 & 0 & -1 & -2 & -2 & -8 \\ \hline -2 & 1 & 0 & 3 & -1 & 0 & -1 & 0 & 0 & & & & 0 & 0 & 0 \\ 0 & -1 & 0 & -1 & 3 & 0 & 1 & -2 & 0 & & & & 0 & 0 & 0 \\ -1 & 1 & -1 & 0 & 0 & 2 & -1 & 1 & -1 & & & & 2 & -2 & 0 \\ \hline 2 & -1 & 1 & -1 & 1 & -1 & 5 & 0 & -1 & -2 & 0 & 1 & -4 & 0 & 0 \\ -1 & 2 & 1 & 0 & -2 & 1 & 0 & 5 & -1 & 1 & -1 & -1 & 0 & -4 & 0 \\ -1 & -1 & 4 & 0 & 0 & -1 & -1 & -1 & 6 & 0 & 0 & -1 & 2 & 2 & -8 \\ \hline -1 & 0 & 0 & & & & -2 & 1 & 0 & 3 & -1 & 0 & 0 & 0 & 0 \\ 1 & -2 & 0 & & & & 0 & -1 & 0 & -1 & 3 & 0 & 0 & 0 & 0 \\ 1 & -1 & -1 & & & & 1 & -1 & -1 & 0 & 0 & 2 & -2 & 2 & 0 \\ \hline -4 & 0 & -2 & 0 & 0 & 2 & -4 & 0 & 2 & 0 & 0 & -2 & 8 & 0 & 0 \\ 0 & -4 & -2 & 0 & 0 & -2 & 0 & -4 & 2 & 0 & 0 & 2 & 0 & 8 & 0 \\ 0 & 0 & -8 & 0 & 0 & 0 & 0 & 0 & -8 & 0 & 0 & 0 & 0 & 0 & 16 \end{bmatrix}$$

Since either tetrahedron does not include both  $P_2$  and  $P_4$ ,  $(2,4)$  and  $(4,2)$  blocks of the connection matrices are zero matrices.

## 2.5 Implementation

Two-dimensional finite element calculation was implemented on MATLAB. Classes **Body**, **Triangle**, and **NodalPoint** were introduced. Class **Body** defines a two-dimensional body, which consists of an array of triangles and an array of nodal points. Class **Triangle** specifies a triangle, including three numbers of nodal points. Class **NodalPoint** defines a nodal point, including its two coordinates.

For example, rectangle region in Fig. 2.2 consists of 6 nodal points and 4 triangles. Coordinates of individual nodal points are listed as

$$\text{points} = [ \mathbf{x}_1 \quad \mathbf{x}_2 \quad \mathbf{x}_3 \quad \mathbf{x}_4 \quad \mathbf{x}_5 \quad \mathbf{x}_6 ] = \begin{bmatrix} 0 & 1 & 2 & 0 & 1 & 2 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{bmatrix}$$

Nodal point numbers for individual triangular elements are listed as

$$\text{triangles} = \begin{bmatrix} 1 & 2 & 4 \\ 2 & 3 & 5 \\ 5 & 4 & 2 \\ 6 & 5 & 3 \end{bmatrix},$$

which implies that  $\Delta_1 = \Delta P_1 P_2 P_4$ ,  $\Delta_2 = \Delta P_2 P_3 P_5$ ,  $\Delta_3 = \Delta P_5 P_4 P_2$ , and  $\Delta_4 = \Delta P_6 P_5 P_3$ . The rectangle region is then given by

```
elastic = Body(6, points, 4, triangles, thickness);
```

where **thickness** specifies thickness  $h$  of the two-dimensional body.

Instance of class **Triangle** includes geometric properties such as nodal point numbers, area, and thickness as well as physical parameters such as density and Lamé's constants. Class **Triangle** involves the following methods:

**partial\_derivatives** calculating partial derivatives  $\partial u/\partial x$ ,  $\partial u/\partial y$ ,  $\partial v/\partial x$ ,  $\partial v/\partial y$   
**calculate\_Cauchy\_strain** calculating Cauchy strain in the triangle  
**partial\_strain\_potential\_energy** strain potential energy stored in the triangle

**calculate\_Green\_strain** calculating Green strain in the triangle  
**partial\_strain\_potential\_energy\_Green\_strain** strain energy using Green strain  
**partial\_gravitational\_potential\_energy** gravitational energy stored in the triangle  
**partial\_stiffness\_matrix** calculating partial stiffness matrix  $K_{i,j,k}$   
**partial\_inertia\_matrix** calculating partial inertia matrix  $M_{i,j,k}$   
**partial\_gravitational\_vector** calculating partial gravitational vector  $\mathbf{g}_{i,j,k}$

Class Body involves the following methods:

**total\_strain\_potential\_energy** calculating strain energy stored in the body  
**total\_strain\_potential\_energy\_Green\_strain** strain energy using Green strain  
**total\_gravitational\_potential\_energy** gravitational energy stored in the body  
**calculate\_stiffness\_matrix** calculating stiffness matrix  $K$   
**calculate\_inertia\_matrix** calculating inertia matrix  $M$   
**calculate\_gravitational\_vector** calculating gravitational vector  $\mathbf{g}$   
**constraint\_matrix** constraint matrix when specified nodal points are fixed  
**draw** draw the shape of the body

Assuming that density  $\rho$  and Lamé's constants  $\lambda, \mu$  are uniform over the region, the following specifies these parameters:

```
elastic = elastic.mechanical_parameters(rho, lambda, mu);
```

The following calculates the stiffness and inertia matrices:

```
elastic = elastic.calculate_stiffness_matrix;
elastic = elastic.calculate_inertia_matrix;
```

The stiffness and inertia matrices are then referred by

```
M = elastic.Inertia_Matrix;
K = elastic.Stiffness_Matrix;
```

which can be applied to static and dynamic calculation of the motion and deformation of a soft body.

Three-dimensional finite element calculation was implemented on MATLAB. Classes **Body**, **Tetrahedron**, and **NodalPoint** were introduced. Class **Body** defines a three-dimensional body, which consists of an array of tetrahedra and an array of nodal points. Class **Tetrahedron** specifies a tetrahedron, including four numbers of nodal points. Class **NodalPoint** defines a nodal point, including its three coordinates.

For example, a regular quadrangular pyramid (Fig. 2.3) consists of 5 nodal points and 2 tetrahedra. Coordinates of individual nodal points are listed as

$$\text{points} = \begin{bmatrix} \mathbf{x}_1 & \mathbf{x}_2 & \mathbf{x}_3 & \mathbf{x}_4 & \mathbf{x}_5 \end{bmatrix} = \begin{bmatrix} 0 & 2 & 2 & 0 & 1 \\ 0 & 0 & 2 & 2 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Nodal point numbers for individual tetrahedron elements are listed as

$$\text{tetrahedra} = \begin{bmatrix} 1 & 2 & 3 & 5 \\ 3 & 4 & 1 & 5 \end{bmatrix},$$

which implies that  $\diamond_1 = \diamond_{P_1P_2P_3P_5}$  and  $\diamond_2 = \diamond_{P_3P_4P_1P_5}$ . The quadrangular pyramid is then given by

```
elastic = Body(5, points, 2, tetrahedra);
```

followed by methods to define physical parameters and calculate inertia and stiffness matrices.

## Problems

1. Show eqs. (2.1.3a)(2.1.3b)(2.1.3c).
2. Calculate partial derivatives of piecewise linear approximation  $L_{i,j,k}(x, y)$  given in eq. (2.1.4) with respect to  $x, y$ .
3. Calculate partial derivatives of piecewise linear approximation  $L_{i,j,k,l}(x, y, z)$  given in eq. (2.1.6) with respect to  $x, y, z$ .
4. Show the following equations:

$$\int_{x_i}^{x_j} N_{i,j}(x) N_{i,j}(x) dx = \int_{x_i}^{x_j} N_{j,i}(x) N_{j,i}(x) dx = \frac{1}{3}(x_j - x_i)$$

$$\int_{x_i}^{x_j} N_{i,j}(x) N_{j,i}(x) dx = \int_{x_i}^{x_j} N_{j,i}(x) N_{i,j}(x) dx = \frac{1}{6}(x_j - x_i)$$

Letting  $L_{i,j}(x) = f_i N_{i,j}(x) + f_j N_{j,i}(x)$ , show

$$\int_{x_i}^{x_j} \{L_{i,j}(x)\}^2 dx = [f_i \quad f_j] \frac{x_j - x_i}{6} \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \begin{bmatrix} f_i \\ f_j \end{bmatrix}$$

5. Show the following equations:

$$\int_{x_i}^{x_j} N'_{i,j}(x) N'_{i,j}(x) dx = \int_{x_i}^{x_j} N'_{j,i}(x) N'_{j,i}(x) dx = \frac{1}{x_j - x_i}$$

$$\int_{x_i}^{x_j} N'_{i,j}(x) N'_{j,i}(x) dx = \int_{x_i}^{x_j} N'_{j,i}(x) N'_{i,j}(x) dx = \frac{-1}{x_j - x_i}$$

Letting  $L_{i,j}(x) = f_i N_{i,j}(x) + f_j N_{j,i}(x)$ , show

$$\int_{x_i}^{x_j} \{L'_{i,j}(x)\}^2 dx = [f_i \quad f_j] \frac{1}{x_j - x_i} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} f_i \\ f_j \end{bmatrix}$$

6. Show the following equations:

$$\int_{\Delta} N_{i,j,k}^2 dS = \int_{\Delta} N_{j,k,i}^2 dS = \int_{\Delta} N_{k,i,j}^2 dS = \frac{\Delta}{6}$$

$$\int_{\Delta} N_{i,j,k} N_{j,k,i} dS = \int_{\Delta} N_{j,k,i} N_{k,i,j} dS = \int_{\Delta} N_{k,i,j} N_{i,j,k} dS = \frac{\Delta}{12}$$

where  $\Delta = \Delta P_i P_j P_k$ .

7. Show eq. (2.3.13).
8. Show the following equations:

$$\int_{\diamond} N_{i,j,k,l}^2 dV = \dots = \frac{\diamond}{10}$$

$$\int_{\diamond} N_{i,j,k,l} N_{j,k,l,i} dV = \dots = \frac{\diamond}{20}$$

where  $\diamond = \diamond P_i P_j P_k P_l$ .